

**CAMPAIGN FINANCIAL STATEMENT**

**2-3-4. Campaign Finance Disclosure.**

**A. Purpose and intent.** The purpose of this section is to comply with Section 10-3-208 of the Utah Code Annotated and to establish campaign financial disclosure requirements for candidates for elective office in the City of Orem.

**B. Definitions.**

**Contribution** means the receiving of money and nonmonetary contributions such as in-kind contributions and contributions of tangible things for a political purpose.

**Expenditure** means the spending or paying of money or nonmonetary items such as tangible things and in-kind payments for a political purpose made during the campaign period.

**Municipal primary election** means the election held in municipalities on the second Tuesday after the first Monday in the September before the Municipal general election.

**Municipal general election** means the election held in municipalities on the Tuesday after the first Monday in November of each odd-numbered year.

**C. Reporting.**

1. The City Recorder shall, at the time the candidate for municipal office files a declaration of candidacy and again fourteen (14) days before each municipal primary and municipal general election, notify the candidate in writing of:

a. the provisions of this ordinance governing the disclosure of campaign contributions and expenditures;

b. the dates when the candidate's campaign finance statement is required to be filed; and

c. the penalties that apply for failure to file a timely campaign statement, including the statutory provision that requires removal of the candidate's name from the ballot for failure to file the required campaign finance statement when required.

2. A report is considered filed if it is received in the City Recorder's office no later than 5 p.m. on the date that it is due;

3. Each candidate for elected municipal office shall file a signed campaign financial statement with the City Recorder containing itemized and total campaign contributions and expenditures as outlined below:

a. **Candidates in the Municipal Primary Election.** Candidates in the municipal primary election shall file a first campaign financial statement seven (7) days before the municipal primary election. The first campaign financial statement shall include contributions received and expenditures made up through and including ten (10) days before the municipal primary election.

b. **Candidates Eliminated at the Municipal Primary Election.** Candidates who are eliminated at the municipal primary election shall file a campaign financial statement within the thirty (30) days after the municipal primary election. The post-primary election campaign financial statement shall include contributions received and expenditures made from nine (9) days before the

municipal primary election (all contributions received and expenditures made after the cutoff date for the first campaign financial statement filing period) until the date the candidate files the post-primary election financial statement.

c. **Candidates in the Municipal General Election.** Candidates in the municipal general election shall file the following campaign financial statements:

(1) A second campaign financial statement shall be filed seven (7) days before the municipal general election. The second campaign financial statement shall include contributions received and expenditures made up through and including ten (10) days before the municipal general election.

(2) A third campaign financial statement shall be filed within the thirty (30) days following the municipal general election. The third campaign financial statement shall include contributions received and expenditures made from nine (9) days before the municipal general election (all contributions received and expenditures made after the cutoff date for the second campaign financial statement filing period) until the date the candidate files the third campaign financial statement.

(3) If the candidate receives contributions or makes expenditures after the date the candidate files the third campaign financial statement, the candidate shall file an additional campaign financial statement(s) within thirty (30) days of receiving the contribution or making the expenditure.

4. **Contents of Statement.** The campaign financial statement must include the following information:

a. For each contribution of more than \$50.00, the name of the donor of the contribution and the amount of the contribution.

b. An aggregate total of all contributions of \$50.00 or less received by the candidate.

c. For each expenditure for a political purpose made during the campaign period, the name of the recipient and the amount of the expenditure.

D. **Classification.** The signed campaign financial statement received by the City Recorder pursuant to this section shall be classified as a public record.

**E. Penalty.**

1. Any person who fails to comply with this Section is guilty of an infraction and upon conviction thereof shall be punished by a fine of not more than seven hundred fifty dollars (\$750.00). Each and every failure to file the required campaign financial statement shall constitute a separate offense.

2. In addition to the penalty imposed in E(1), if a candidate fails to file a campaign finance statement before a municipal primary or general election by the deadlines specified above, the candidate's name shall be removed from the ballot pursuant to State law.

FILING # 1 FILING PERIOD: From 3/23/2013 To 8/3/2013  
 NAME OF CANDIDATE David M. Spencer  
 ADDRESS 899 N 550E CITY Orem STATE Utah ZIP 84097  
 NAME OF OFFICE City Council

1. Total contributions of donors who gave more than \$50.00 \$ 4844.28  
 2. Aggregate total of contributions of \$50.00 or less \$ 55.00  
 3. In-Kind Contributions \$ 1000.00  
 4. Total campaign expenditures \$ 4899.28

Date 8/5/2013

Signed [Signature] **RECEIVED**  
 Candidate's Signature

AUG - 5 2013 Revised 5/24/11

**CITY RECORDER'S OFFICE**  
 4:45 p.m.

## Campaign Contributions

<b>Date</b>	<b>Contributor</b>	<b>Amount</b>
3/23/2013	Andersen Accounting	\$500.00
5/31/2013	David Spencer (Loan)	\$1,180.00
5/31/2013	Carolyn Spencer	\$100.00
6/28/2013	Aggregate Cash	\$55.00
6/29/2013	Bonnie Scadlock	\$100.00
7/3/2013	Rose Watabe	\$100.00
7/12/2013	Cathy Young	\$100.00
7/16/2013	Utah County Association of Realtors	\$250.00
8/2/2013	Stratton Lawn Group	\$100.00
8/2/2013	David Spencer (Loan)	\$2,314.28
8/3/2013	Dallas Powell	\$100.00

## Campaign Expenses

<b>Date</b>	<b>Name of Recipient</b>	<b>Political Purpose</b>	<b>Amount</b>
6/3/2013	Orem City	Filing fee	\$35.00
6/3/2013	Go Daddy	Webhosting	\$36.06
6/6/2013	iThemes	Web materials	\$252.50
6/7/2013	Office Max	Printing	\$40.20
6/12/2013	Costco	Parade treats	\$43.21
6/14/2013	Ferrari Color	Signs	\$290.10
6/14/2013	West Pro	T-Shirts	\$336.80
6/14/2013	Sweets Candy	Parade Treats	\$203.12
7/2/2013	Salt Lake Mailing	Doorhangers	\$862.62
7/9/2013	Ferrari Color	Signs	\$2,199.77
7/15/2013	Home Depot	Sign supplies	\$27.72
7/16/2013	Richard Jaussi	Consulting	\$300.00
7/25/2013	Walmart	Envelopes	\$9.54
7/25/2013	Zurchers	Napkins	\$17.41
7/25/2013	Costco	Cookies for Meet the Candidate	\$28.27
7/31/2013	Costco	Cookies for Meet the Candidate	\$16.96
6/7/2013	Summerfest	Parade	\$100.00
7/11/2013	Fast Signs	Pop-up Banner	\$100.00

## CAMPAIGN FINANCIAL STATEMENT

**2-3-4. Campaign Finance Disclosure.**

**A. Purpose and intent.** The purpose of this section is to comply with Section 10-3-208 of the Utah Code Annotated and to establish campaign financial disclosure requirements for candidates for elective office in the City of Orem.

**B. Definitions.**

**Contribution** means the receiving of money and nonmonetary contributions such as in-kind contributions and contributions of tangible things for a political purpose.

**Expenditure** means the spending or paying of money or nonmonetary items such as tangible things and in-kind payments for a political purpose made during the campaign period.

**Municipal primary election** means the election held in municipalities on the second Tuesday after the first Monday in the September before the Municipal general election.

**Municipal general election** means the election held in municipalities on the Tuesday after the first Monday in November of each odd-numbered year.

**C. Reporting.**

1. The City Recorder shall, at the time the candidate for municipal office files a declaration of candidacy and again fourteen (14) days before each municipal primary and municipal general election, notify the candidate in writing of:

- a. the provisions of this ordinance governing the disclosure of campaign contributions and expenditures;
- b. the dates when the candidate's campaign finance statement is required to be filed; and
- c. the penalties that apply for failure to file a timely campaign statement, including the statutory provision that requires removal of the candidate's name from the ballot for failure to file the required campaign finance statement when required.

2. A report is considered filed if it is received in the City Recorder's office no later than 5 p.m. on the date that it is due;

3. Each candidate for elected municipal office shall file a signed campaign financial statement with the City Recorder containing itemized and total campaign contributions and expenditures as outlined below:

**a. Candidates in the Municipal Primary Election.** Candidates in the municipal primary election shall file a first campaign financial statement seven (7) days before the municipal primary election. The first campaign financial statement shall include contributions received and expenditures made up through and including ten (10) days before the municipal primary election.

**b. Candidates Eliminated at the Municipal Primary Election.** Candidates who are eliminated at the municipal primary election shall file a campaign financial statement within the thirty (30) days after the municipal primary election. The post-primary election campaign financial statement shall include contributions received and expenditures made from nine (9) days before the

municipal primary election (all contributions received and expenditures made after the cutoff date for the first campaign financial statement filing period) until the date the candidate files the post-primary election financial statement.

**c. Candidates in the Municipal General Election.**

Candidates in the municipal general election shall file the following campaign financial statements:

(1) A second campaign financial statement shall be filed seven (7) days before the municipal general election. The second campaign financial statement shall include contributions received and expenditures made up through and including ten (10) days before the municipal general election.

(2) A third campaign financial statement shall be filed within the thirty (30) days following the municipal general election. The third campaign financial statement shall include contributions received and expenditures made from nine (9) days before the municipal general election (all contributions received and expenditures made after the cutoff date for the second campaign financial statement filing period) until the date the candidate files the third campaign financial statement.

(3) If the candidate receives contributions or makes expenditures after the date the candidate files the third campaign financial statement, the candidate shall file an additional campaign financial statement(s) within thirty (30) days of receiving the contribution or making the expenditure.

**4. Contents of Statement.** The campaign financial statement must include the following information:

- a. For each contribution of more than \$50.00, the name of the donor of the contribution and the amount of the contribution.
- b. An aggregate total of all contributions of \$50.00 or less received by the candidate.
- c. For each expenditure for a political purpose made during the campaign period, the name of the recipient and the amount of the expenditure.

**D. Classification.** The signed campaign financial statement received by the City Recorder pursuant to this section shall be classified as a public record.

**E. Penalty.**

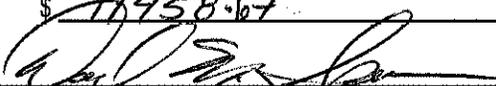
1. Any person who fails to comply with this Section is guilty of an infraction and upon conviction thereof shall be punished by a fine of not more than seven hundred fifty dollars (\$750.00). Each and every failure to file the required campaign financial statement shall constitute a separate offense.

2. In addition to the penalty imposed in E(1), if a candidate fails to file a campaign finance statement before a municipal primary or general election by the deadlines specified above, the candidate's name shall be removed from the ballot pursuant to State law.

\*\*\*\*\*

FILING # 2 FILING PERIOD: From August 4, 2013 To October 26, 2013  
 NAME OF CANDIDATE David M. Spencer  
 ADDRESS 899 N 550 E CITY Orem STATE Utah ZIP 84097  
 NAME OF OFFICE City Council

1. Total contributions of donors who gave more than \$50.00	\$	<u>12067.88</u>
2. Aggregate total of contributions of \$50.00 or less	\$	<u>45.00</u>
3. In-Kind Contributions	\$	<u>900.00</u>
4. Total campaign expenditures	\$	<u>11458.67</u>

Date 10/29/2013 Signed 

Candidate's Signature

**RECEIVED**

Revised 5/24/11

OCT 29 2013 3:15 pm

**CITY RECORDER'S OFFICE**

## ITEMIZED CONTRIBUTION STATEMENT

Date	Contributor	Amount
08/05/2013	Richard Spencer	300.00
08/07/2013	David Spencer (Loan)	1800.00
08/27/2013	Mountain West Multimodal	100.00
09/03/2013	Washburn Associates	200.00
09/13/2013	Container Services	200.00
09/17/2013	David Spencer (Loan)	261.68
09/20/2013	Dave and Cathy Young	100.00
09/27/2013	Aggregate Cash	45.00
09/29/2013	Gary and Carolyn Spencer	500.00
09/30/2013	Build Pac Utah	500.00
10/01/2013	Don and Vivian Ostler	200.00
10/02/2013	David Spencer (Loan)	1979.32
10/03/2013	Reagan Outdoor Advertising	500.00
10/07/2013	Bonnie Scadlock	100.00
10/09/2013	Prostar Logistics	1000.00
10/10/2013	The Teri Crossett Trust	125.00
10/23/2013	David Spencer (Loan)	3701.88
10/24/2013	Vern Stratton	500.00

## ITEMIZED EXPENDITURE STATEMENT

Date	Name of Recipient	Political Purpose	Amount
08/07/2013	Salt Lake Mailing	Mailing	2579.31
08/11/2013	Facebook	Advertising	30.00
08/14/2013	Facebook	Advertising	30.00
08/22/2013	Propay	Advertising	39.95
09/05/2013	Costco	Cookies	14.40
09/13/2013	Costco	Stamps/Envelopes/Cookies	110.26
09/17/2013	Sysco	Open House BBQ	192.89
09/17/2013	Zurchers	Balloons/Foil pans	6.74
09/17/2013	Costco	Open House BBQ	62.05
09/24/2013	Copytec	Copies	44.30
09/24/2013	Costco	Cookies	21.60
09/24/2013	Zurchers	Napkins	3.78
09/27/2013	Robert Richards	Phone Calls	113.30
10/02/2013	Ferrari Color	Signs/Magnets/H stakes	1954.02
10/08/2013	Copytec	Brochures	28.61
10/16/2013	Costco	Cookies	28.80
10/17/2013	Richard Jaussi	Consulting Primary Election	1400.00
10/17/2013	Brian Chapman	Mailing	1015.46
10/23/2013	Salt Lake Mailing	Mailing/Door Hangers	3701.88
10/23/2013	Steve Long	Buttons	56.25
10/23/2013	Costco	Cookies	14.40
10/24/2013	Costco	Envelopes	10.67

IN-KIND CONTRIBUTIONS		
Date	Name of Donor	Estimated amount
09/20/2013	Dave and Cathy Young	400.00
08/13/2013	Richard Jausi (Primary Bonus)	500.00

# CAMPAIGN FINANCIAL STATEMENT

**2-3-4. Campaign Finance Disclosure.**

**A. Purpose and intent.** The purpose of this section is to comply with Section 10-3-208 of the Utah Code Annotated and to establish campaign financial disclosure requirements for candidates for elective office in the City of Orem.

**B. Definitions.**

**Contribution** means the receiving of money and nonmonetary contributions such as in-kind contributions and contributions of tangible things for a political purpose.

**Expenditure** means the spending or paying of money or nonmonetary items such as tangible things and in-kind payments for a political purpose made during the campaign period.

**Municipal primary election** means the election held in municipalities on the second Tuesday after the first Monday in the September before the Municipal general election.

**Municipal general election** means the election held in municipalities on the Tuesday after the first Monday in November of each odd-numbered year.

**C. Reporting.**

1. The City Recorder shall, at the time the candidate for municipal office files a declaration of candidacy and again fourteen (14) days before each municipal primary and municipal general election, notify the candidate in writing of:

- a. the provisions of this ordinance governing the disclosure of campaign contributions and expenditures;
- b. the dates when the candidate's campaign finance statement is required to be filed; and
- c. the penalties that apply for failure to file a timely campaign statement, including the statutory provision that requires removal of the candidate's name from the ballot for failure to file the required campaign finance statement when required.

2. A report is considered filed if it is received in the City Recorder's office no later than 5 p.m. on the date that it is due;

3. Each candidate for elected municipal office shall file a signed campaign financial statement with the City Recorder containing itemized and total campaign contributions and expenditures as outlined below:

**a. Candidates in the Municipal Primary Election.**

Candidates in the municipal primary election shall file a first campaign financial statement seven (7) days before the municipal primary election. The first campaign financial statement shall include contributions received and expenditures made up through and including ten (10) days before the municipal primary election.

**b. Candidates Eliminated at the Municipal Primary Election.**

Candidates who are eliminated at the municipal primary election shall file a campaign financial statement within the thirty (30) days after the municipal primary election. The post-primary election campaign financial statement shall include contributions received and expenditures made from nine (9) days before the

municipal primary election (all contributions received and expenditures made after the cutoff date for the first campaign financial statement filing period) until the date the candidate files the post-primary election financial statement.

**c. Candidates in the Municipal General Election.**

Candidates in the municipal general election shall file the following campaign financial statements:

(1) A second campaign financial statement shall be filed seven (7) days before the municipal general election. The second campaign financial statement shall include contributions received and expenditures made up through and including ten (10) days before the municipal general election.

(2) A third campaign financial statement shall be filed within the thirty (30) days following the municipal general election. The third campaign financial statement shall include contributions received and expenditures made from nine (9) days before the municipal general election (all contributions received and expenditures made after the cutoff date for the second campaign financial statement filing period) until the date the candidate files the third campaign financial statement.

(3) If the candidate receives contributions or makes expenditures after the date the candidate files the third campaign financial statement, the candidate shall file an additional campaign financial statement(s) within thirty (30) days of receiving the contribution or making the expenditure.

**4. Contents of Statement.** The campaign financial statement must include the following information:

- a. For each contribution of more than \$50.00, the name of the donor of the contribution and the amount of the contribution.
- b. An aggregate total of all contributions of \$50.00 or less received by the candidate.
- c. For each expenditure for a political purpose made during the campaign period, the name of the recipient and the amount of the expenditure.

**D. Classification.** The signed campaign financial statement received by the City Recorder pursuant to this section shall be classified as a public record.

**E. Penalty.**

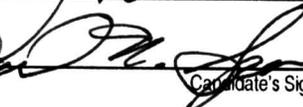
1. Any person who fails to comply with this Section is guilty of an infraction and upon conviction thereof shall be punished by a fine of not more than seven hundred fifty dollars (\$750.00). Each and every failure to file the required campaign financial statement shall constitute a separate offense.

2. In addition to the penalty imposed in E(1), if a candidate fails to file a campaign finance statement before a municipal primary or general election by the deadlines specified above, the candidate's name shall be removed from the ballot pursuant to State law.

\*\*\*\*\*

FILING # 3 FILING PERIOD: From 10/27/2013 To 12/5/2013  
 NAME OF CANDIDATE David M. Spencer  
 ADDRESS 899 North 550 East CITY Orem STATE Utah ZIP 84097  
 NAME OF OFFICE \_\_\_\_\_

1. Total contributions of donors who gave more than \$50.00 \$ 4302.53  
 2. Aggregate total of contributions of \$50.00 or less \$ 70.00  
 3. In-Kind Contributions \$ 500.00  
 4. Total campaign expenditures \$ 4372.53

Date 12/4/2013 RECEIVED  Candidate's Signature

DEC - 4 2013

Revised 5/24/11

CITY RECORDER'S OFFICE

ITEMIZED CONTRIBUTION STATEMENT

Date	Contributor	Amount
10/29/2013	Container Services	3500.00
11/02/2013	Ralph and Diane Clegg	50.00
11/18/2013	Paul and Sherie Ritchie	600.00
11/01/2013	Keven Stratton	100.00
11/02/2013	Aggregate Cash	20.00
11/05/2013	David Spencer Loan	102.53

ITEMIZED EXPENDITURE STATEMENT

Date	Name of Recipient	Political Purpose	Amount
10/29/2013	Salt Lake Mailing	Mailing	2305.67
11/04/2013	Facebook	Advertising	30.00
11/04/2013	Walmart	Headphones	70.14
11/04/2013	Facebook	Advertising	27.54
11/06/2013	Facebook	Advertising	31.35
11/06/2013	Facebook	Advertising	25.00
11/05/2013	Subway	Election Night Food	70.00
11/13/2013	Eagle Ops	Campaign Phone Calling	812.83
11/20/2013	David Kyle	Consulting General Election	1000.00

IN-KIND CONTRIBUTIONS		
Date	Name of Donor	Estimated amount
11/2/2013	Jim Keller	500.00